

# Hartford Fire Insurance Co.

# The Hartford Design Professionals Liability Condominium Questionnaire

			Most Recently Completed 12 Month Period			ecently oth Period	Projected for the Next 12 Month Period		
		Billings	# of Projects	Billings	2 101011	# of Projects	Billings	# of	
Residenti	al	<u> </u>	1 10,000	<u> </u>		1 10,000	Dillingo	1 10,00	
Condomir		\$		\$			\$		
Commercial Condominiums:		\$		\$			\$		
Mixed-Us		Ψ		Ψ			Ψ		
Condomir		\$		\$			\$		
Cooperatives:				\$			\$		
		entage of the Appl e following projec		ominium/coope	rative	billings fo	r the past 12 mont	hs that a	
%	Reside	ntial Condo/Co-op	Units	%	Reta	il Units			
%	Rental	Apartments		%	Offic	e/Other Co	mmercial Units		
%	ı ıvıason	rv		%	Othe	er - Describe	ə:		
Indicate to projects Indicate to	within fi the perc	entage of billings ve miles of an oce	an or gulf coa	astline:	as der _%		e: condominium/coo ntive projects from		
Indicate to projects Indicate to	the perc within fi the perc ns (shoul	entage of billings ve miles of an oce entage of each ag	ean or gulf coa	months that wastline:	as der _% miniu	rived from	condominium/coo		
Indicate to projects a Indicate to 12 month	the perc within fi the perc as (shoul	entage of billings ve miles of an oce entage of each ag	ean or gulf coa	months that wastline:	as der _% miniu	rived from m/coopera	condominium/coontive projects from		
Indicate to projects of Indicate to 12 month	the perc within fi the perc ns (shoul Profess	entage of billings ve miles of an oce entage of each ag d total 100%):	ean or gulf coa	months that wastline: used on condo	as der _% miniu Lette	rived from m/coopera r Agreemer	condominium/coontive projects from		
Indicate to projects of the Indicate to 12 months	the perc within fi the perc ns (shoul Profess Client I	entage of billings ve miles of an oce entage of each ag do total 100%): sional Association (Orafted Contract	ean or gulf coa	months that wastline: used on condo	as der _% miniu Lette	rived from m/coopera er Agreemer hase Order	condominium/coontive projects from		
Indicate to projects and the follow	che perc within fi the perc s (shoul Profess Client I Your S Other -	entage of billings ve miles of an oce entage of each ag d total 100%): sional Association (Orafted Contract tandard Contract Describe: e design profession	can or gulf coareement type  Contract  Conal on any cu	months that wastline: used on condo % % % urrent, past or e	as der _% miniu Lette Purch Verba	rived from m/coopera er Agreemer hase Order al Agreeme	condominium/coo	the pas	
Indicate to projects and the follow a. Partic	he percent (should be percent)  Client I Your S Other Che prime percent ving: Cipate in	entage of billings ve miles of an oce entage of each ag d total 100%): sional Association (Orafted Contract tandard Contract Describe: e design professionage of condominicates	can or gulf coareement type  Contract  Conal on any cu  cum/cooperative  the maintenary	months that wastline: used on condo % % % urrent, past or e	as der _% miniu Lette Purch Verba	rived from m/coopera r Agreemer hase Order al Agreemer	condominium/coontive projects from	the pas	
Indicate to projects and indicate to the following and indicate	che percent your S  che prim percent your S  che prim percent ying:  cipate in de or over	entage of billings ve miles of an oce entage of each ag do total 100%): sional Association (Orafted Contract tandard Contract Describe: e design professionage of condominities the development of	can or gulf coareement type  Contract  Contract  Conal on any cu  the maintenance property own	months that wastline: used on condo % % % urrent, past or e	as der _% miniu Lette Purch Verba	rived from m/coopera r Agreemer hase Order al Agreemer	condominium/coontive projects from	the pas	

e. Perform construction administration?								
f. Maintain a documented submittal or shop drawing log?								
								projects attributable to each of the
	1		lection proce	esses in the	past five			No. 177 - 1 October 1999
		w Bid Selec				%		Qualified Contractors
	%   Qι	uality Based	Selection			%	Unkno	own
			nge of the App pecialized co			ım/coo <sub>l</sub>	perativ	e projects in the past five years that
a. Ad	coustica	al Consultar	nt	%	_			
o. Bu	uilding	Envelope C	onsultant	%	_			
c. Co	ode Co	nsultant		%	_			
d. St	ructura	l Peer Revi	ew	%	_			
e. Ot	her Sp	ecialist		%	Describe	e:		
rovid	la tha f	iollowina ir	oformation fo	r tha Annli	antic thr	oo lara	ost con	odominium/cooperative projects in the
		below:	nomation 10	ı ille Abbiil	Jani S uif	ee laly	est CON	ndominium/cooperative projects in the
Projec	t #1							
Projec	t Name	):				Location	on:	
Service	es prov	rided:						
Numbe	er of Co	ondominium	Units:			Numbe	er of Co	ooperative Units:
Total E	Billings:					Constr	uction \	Value:
Constr	uction	Start Date:				Substa	ential Co	ompletion Date:
Project Type:			Conversion to	o Condo/Co	-ор			New Construction
. 0,00	, p = .		Renovation of	of existing co	ondo/co-op	)		Other Describe:
Owner Type:			Condo/Co-op	o Associatio	n			Contractor
)WIIGI	i ype.		Developer					Other Describe:
Projec	t #2 t Name	<b>.</b>				Location	nn.	
	es prov					Localic	211.	
		ondominium	Units:			Numbe	er of Co	operative Units:
	Billings:						uction \	•
		Start Date:						ompletion Date:
			Conversion to	o Condo/Co	-op	240010		New Construction
Projec	t Type:		Renovation of		•	)		Other Describe:
		 	Condo/Co-op				$\overline{}$	Contractor
Owner	Type:		Developer					Other Describe:
			Dovolopoi				ш	Caror Dodonbo.

	Project #3			1				
	Project Name:			Location:				
	Services provided:							
	Number of Condominium Units:			Number of Cooperative Units:				
	Total Billings:			Construction Value:				
	Construction Start Date:			Substantial Completion Date:				
	Project Type:		Conversion to Condo/Co-op			New Construction		
			Renovation of existing condo/co-o	p [	]	Other Describe:		
	Owner Type:		Condo/Co-op Association			Contractor		
	_		Developer		]	Other Describe:		
same r the Instand pa	epresentations and urer to write the ins	d condit urance id that I/	ions. It is understood and agreed nor the applicant to purchase the information we have not suppressed or misstate.	that the comp surance. I/we	le he	y E&O application and is subject to the tion of this questionnaire does not bind ereby declare that the above statements facts and I/we agree that this application		

#### AGENT OR BROKER INFORMATION

PRODUCED BY (Insurance Agent or Broker contact):	AGENCY OR BROKERAGE NAME:	
AGENCY OR BROKERAGE FEDERAL TAXPAYER ID	AGENT OR BROKER LICENSE NUMBI	ER/EXPIRATION DATE
ADDRESS (No., Street, City, State, and Zip):		
E-MAIL ADDRESS:	PHONE NUMBER: FAX NUM	MBER:
LICENSED AGENT/BROKER SIGNATURE		

**Maryland Applicants Only** - A binder or policy is subject to a 45-day underwriting period beginning on the effective date of coverage. An Insurer may cancel a binder or policy during the underwriting period if the risk does not meet our underwriting standards of the Insurer. If the Insurer discovers a material risk factor during the underwriting period, the Insurer shall recalculate the premium for the policy or binder based on the material risk factor as long as the risk continues to meet the underwriting standards of the Insurer.

## FRAUD WARNING STATEMENTS

ATTENTION ALABAMA, ARKANSAS, DISTRICT OF COLUMBIA, MARYLAND, RHODE ISLAND AND WEST VIRGINIA APPLICANTS: ANY PERSON WHO KNOWINGLY (OR WILLFULLY IN MARYLAND) PRESENTS A FALSE OR FRAUDULENT CLAIM FOR PAYMENT OF A LOSS OR BENEFIT OR KNOWINGLY (OR WILLFULLY IN MARYLAND) PRESENTS FALSE INFORMATION IN AN APPLICATION FOR INSURANCE IS GUILTY OF A CRIME AND MAY BE SUBJECT TO FINES AND CONFINEMENT IN PRISON.

ATTENTION COLORADO APPLICANTS: IT IS UNLAWFUL TO KNOWINGLY PROVIDE FALSE, INCOMPLETE, OR MISLEADING FACTS OR INFORMATION TO AN INSURANCE COMPANY FOR THE PURPOSE OF DEFRAUDING OR ATTEMPTING TO DEFRAUD THE COMPANY. PENALTIES MAY INCLUDE IMPRISONMENT, FINES, DENIAL OF INSURANCE, AND CIVIL DAMAGES. ANY

INSURANCE COMPANY OR AGENT OF AN INSURANCE COMPANY WHO KNOWINGLY PROVIDES FALSE, INCOMPLETE, OR MISLEADING FACTS OR INFORMATION TO A POLICY HOLDER OR CLAIMANT FOR THE PURPOSE OF DEFRAUDING OR ATTEMPTING TO DEFRAUD THE POLICY HOLDER OR CLAIMANT WITH REGARD TO A SETTLEMENT OR AWARD PAYABLE FROM INSURANCE PROCEEDS SHALL BE REPORTED TO THE COLORADO DIVISION OF INSURANCE WITHIN THE DEPARTMENT OF REGULATORY AGENCIES.

ATTENTION FLORIDA APPLICANTS: ANY PERSON WHO KNOWINGLY AND WITH INTENT TO INJURE, DEFRAUD OR DECEIVE ANY INSURER FILES A STATEMENT OF CLAIM OR AN APPLICATION CONTAINING ANY FALSE, INCOMPLETE, OR MISLEADING INFORMATION IS GUILTY OF A FELONY OF THE THIRD DEGREE.

ATTENTION KANSAS APPLICANTS: INSURANCE FRAUD IS A CRIMINAL OFFENSE IN KANSAS. A "FRAUDULENT INSURANCE ACT" MEANS AN ACT COMMITTED BY ANY PERSON WHO. KNOWINGLY AND WITH INTENT TO DEFRAUD, PRESENTS, CAUSES TO BE PRESENTED OR PREPARES WITH KNOWLEDGE OR BELIEF THAT IT WILL BE PRESENTED TO OR BY AN INSURER, PURPORTED INSURER, BROKER OR ANY AGENT THEREOF, ANY WRITTEN ELECTRONIC, ELECTRONIC IMPULSE, FACSIMILE, MAGNETIC, ORAL, OR TELEPHONIC COMMUNICATION OR STATEMENT AS PART OF, OR IN SUPPORT OF, AN APPLICATION FOR THE ISSUANCE OF, OR THE RATING OF AN INSURANCE POLICY FOR PERSONAL OR COMMERCIAL INSURANCE. OR A CLAIM FOR PAYMENT OR OTHER BENEFIT PURSUANT TO AN INSURANCE POLICY FOR COMMERCIAL OR PERSONAL INSURANCE WHICH SUCH PERSON KNOWS TO CONTAIN MATERIALLY FALSE INFORMATION CONCERNING ANY FACT MATERIAL THERETO; OR CONCEALS, FOR THE PURPOSE OF MISLEADING, INFORMATION CONCERNING ANY FACT MATERIAL THERETO. IN THE STATE OF KANSAS, COVERAGE FOR LOSS RESULTING FROM ILLEGAL ACTIVITY IS SUBJECT TO KANSAS LAW (AND SUBJECT TO FEDERAL LAW, WHERE APPLICABLE), COVERAGE MAY THEREFORE BE LIMITED TO **DEFENSE COSTS RELATED THERETO.** 

ATTENTION KENTUCKY AND PENNSYLVANIA APPLICANTS: ANY PERSON WHO KNOWINGLY AND WITH INTENT TO DEFRAUD ANY INSURANCE COMPANY OR OTHER PERSON FILES AN APPLICATION FOR INSURANCE OR STATEMENT OF CLAIM CONTAINING ANY MATERIALLY FALSE INFORMATION OR CONCEALS FOR THE PURPOSE OF MISLEADING, INFORMATION CONCERNING ANY FACT MATERIAL THERETO COMMITS A FRAUDULENT INSURANCE ACT, WHICH IS A CRIME AND SUBJECTS SUCH PERSON TO CRIMINAL AND CIVIL PENALTIES.

ATTENTION LOUISIANA, MAINE, TENNESSEE, VIRGINIA AND WASHINGTON APPLICANTS: IT IS A CRIME TO KNOWINGLY PROVIDE FALSE, INCOMPLETE OR MISLEADING INFORMATION TO AN INSURANCE COMPANY FOR THE PURPOSE OF DEFRAUDING THE COMPANY. PENALTIES MAY INCLUDE IMPRISONMENT, FINES OR A DENIAL OF INSURANCE BENEFITS.

ATTENTION NEW HAMPSHIRE AND NEW JERSEY APPLICANTS: ANY PERSON WHO INCLUDES ANY FALSE OR MISLEADING INFORMATION TO THE BEST OF HER/HIS KNOWLEDGE ON AN APPLICATION FOR AN INSURANCE POLICY IS SUBJECT TO CRIMINAL AND CIVIL PENALTIES.

ATTENTION NEW MEXICO APPLICANTS: ANY PERSON WHO KNOWINGLY PRESENTS A FALSE OR FRAUDULENT CLAIM FOR PAYMENT OF A LOSS OR BENEFIT OR KNOWINGLY PRESENTS FALSE INFORMATION IN AN APPLICATION FOR INSURANCE IS GUILTY OF A CRIME AND MAY BE SUBJECT TO CIVIL FINES AND CRIMINAL PENALTIES.

## **ATTENTION OHIO APPLICANTS:**

ANY PERSON WHO, WITH INTENT TO DEFRAUD OR KNOWING THAT HE IS FACILITATING A FRAUD AGAINST AN INSURER, SUBMITS AN APPLICATION OR FILES A CLAIM CONTAINING A FALSE OR DECEPTIVE STATEMENT IS GUILTY OF INSURANCE FRAUD.

ATTENTION OKLAHOMA APPLICANTS: WARNING, ANY PERSON WHO KNOWINGLY, AND WITH INTENT TO INJURE, DEFRAUD OR DECEIVE ANY INSURER, MAKES ANY CLAIM FOR THE PROCEEDS OF AN INSURANCE POLICY CONTAINING ANY FALSE, INCOMPLETE OR MISLEADING INFORMATION IS GUILTY OF A FELONY.

ATTENTION OREGON APPLICANTS: ANY PERSON WHO KNOWINGLY AND WITH INTENT TO DEFRAUD OR SOLICIT ANOTHER TO DEFRAUD AN INSURER: (1) BY SUBMITTING AN APPLICATION OR; (2) FILING A CLAIM CONTAINING A FALSE STATEMENT AS TO ANY MATERIAL FACT MAY BE VIOLATING STATE LAW.

ATTENTION TEXAS APPLICANTS: ANY PERSON WHO KNOWINGLY AND WITH INTENT TO DEFRAUD ANY INSURANCE COMPANY OR OTHER PERSON FILES AN APPLICATION FOR INSURANCE OR STATEMENT OF CLAIM CONTAINING ANY MATERIALLY FALSE INFORMATION OR CONCEALS FOR THE PURPOSE OF MISLEADING, INFORMATION CONCERNING ANY FACT MATERIAL THERETO, COMMITS A FRAUDULENT INSURANCE ACT, WHICH IS A CRIME AND SUBJECTS THE PERSON TO CRIMINAL AND CIVIL PENALTIES.

THE UNDERSIGNED AUTHORIZED OFFICER OF THE APPLICANT DECLARES AND ACKNOWLEDGES THAT:

- THE POLICY CONTAINS A DEFENSE WITHIN LIMITS PROVISION WHICH MEANS THAT DEFENSE COSTS WILL REDUCE THE LIMIT OF LIABILITY AND MAY EXHAUST IT COMPLETELY AND SHOULD THAT OCCUR, THE INSURED SHALL BE LIABLE FOR ANY FURTHER LOSS, INCLUDING DEFENSE COSTS. IN ADDITION, DEFENSE COSTS ARE APPLIED AGAINST THE RETENTION.
- THE STATEMENTS SET FORTH HEREIN ARE TRUE AND COMPLETE<sup>1</sup>. THE UNDERSIGNED AUTHORIZED OFFICER AGREES THAT IF THE INFORMATION SUPPLIED ON THIS APPLICATION CHANGES BETWEEN THE DATE OF THIS APPLICATION AND THE EFFECTIVE DATE OF THE INSURANCE, THE UNDERSIGNED WILL, IN ORDER FOR THE INFORMATION TO BE TRUE AND COMPLETE ON THE EFFECTIVE DATE OF THE INSURANCE, IMMEDIATELY NOTIFY THE INSURER OF SUCH CHANGES AND THE INSURER MAY WITHDRAW OR MODIFY ANY OUTSTANDING QUOTATIONS, AUTHORIZATIONS OR AGREEMENTS TO BIND THE INSURANCE<sup>2</sup>. THE "EFFECTIVE DATE" IS THE DATE THE COVERAGE IS BOUND OR THE FIRST DAY OF THE POLICY PERIOD, WHICHEVER IS LATER. SIGNING OF THIS APPLICATION DOES NOT BIND THE APPLICANT OR THE INSURER TO COMPLETE THE INSURANCE, BUT IT IS AGREED THAT THIS APPLICATION SHALL BE THE BASIS OF THE CONTRACT SHOULD A POLICY BE ISSUED AND IT WILL BE DEEMED ATTACHED TO AND BECOME A PART OF THE POLICY<sup>3</sup>. ALL WRITTEN STATEMENTS AND MATERIALS FURNISHED TO THE INSURER IN CONJUNCTION WITH THIS APPLICATION ARE HEREBY INCORPORATED BY REFERENCE INTO THIS APPLICATION AND MADE A PART HEREOF.

1-In New Hampshire the truth and completeness shall be to the best of her/his knowledge.
 2-In Maine this sentence ends at the word "quotations."
 3-The application shall actually attach in the following states: North Carolina

THIS APPLICATION MUST BE SIGNED BY THE APPLICANT'S CHIEF EXECUTIVE OFFICER, CHIEF FINANCIAL OFFICER, PRESIDENT OR BOARD CHAIRMAN.

ATTENTION NEW YORK APPLICANTS: ANY PERSON WHO KNOWINGLY AND WITH INTENT TO DEFRAUD ANY INSURANCE COMPANY OR OTHER PERSON FILES AN APPLICATION FOR INSURANCE OR STATEMENT OF CLAIM CONTAINING ANY MATERIALLY FALSE INFORMATION, OR CONCEALS FOR THE PURPOSE OF MISLEADING, INFORMATION

CONCERNING ANY FACT MATERIAL THERETO, COMMITS A FRAUDULENT INSURANCE ACT, WHICH IS A CRIME, AND SHALL ALSO BE SUBJECT TO A CIVIL PENALTY NOT TO EXCEED FIVE THOUSAND DOLLARS AND THE STATED VALUE OF THE CLAIM FOR EACH SUCH VIOLATION.

PRINT NAME:		
SIGNATURE:		
TITLE:	DATE:	
Additionally required of applicants in Florida	, Iowa & New Hamps	<u>shire</u>
Name of Agent	Agent License #: e only)	(Required: Florida only)
Print Name:	Name of Agency:	
Address:		
Date:	Agent Signature: (Requ	 ired: Florida & New Hampshire only)

PLEASE SUBMIT THIS PROPOSAL AND APPROPRIATE MATERIALS TO:

One Penn Plaza New York, New York 10119 860-547-5000